Mathematical methods in GR and QFT

Black holes from transplanckian-energy collisions of ultrarelativistic sources

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Introduction

There are many beautiful (analytical as well as numerical) GR results on determining whether some given initial data should lead to gravitational collapse or to a completely dispersed final state.

The two phases would be typically separated by a critical hypersurface $S_{cr}^{(Cl)}$ in the parameter space $P^{(Cl)}$ of the initial states from C. Gundlach’s review (’02).

The approach to criticality resembles that of phase transitions (order, crit. exp. ...)

Figure 1: Phase space picture of the critical gravitational collapse.
For pure gravity Christodoulou & Klainerman (’93) have found a region on the dispersion side of the critical surface;

Regions on the collapse side have been found for spherical symmetry by Christodoulou (’91, ...) and, numerically, by Choptuik and collaborators (’93, ...);

Last year, Christodoulou identified another such region in which a lower bound on (incoming energy)/(unit adv. time) holds uniformly over the full solid angle;

A few months ago Choptuik and Pretorius (0908.1780) have obtained new numerical results for a highly-relativistic axisymmetric situation (see below).

A useful (but only sufficiency) criterion for collapse is the identification of a Closed Trapped Surface (CTS) at a certain moment in the system’s evolution.
Chapter 14: The 1st Order Weyl Current Error Estimates
14.1 Introduction
14.2 The error estimates arising from $J^1$
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16.1 The energy-flux estimates —
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16.3 Completion of the continuity argument
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Chapter 17: Trapped Surface Formation
Essence of new DC’s criterion

If \( M(\theta, \phi, \delta) \equiv \int_0^\delta dv \frac{dM(v, \theta, \phi)}{dv} \frac{d\cos \theta}{d\phi} \geq \frac{k}{8\pi} \) for all \( \theta, \phi \)

then, for \( \delta / k \ll 1 \), a CTS (hence a BH) forms with

\[ R \geq k - O(\delta) \]
DC’s result is not useful for two-body collisions, the energy being concentrated in two narrow cones but one can still get useful criteria through CTS constructions.

- **Point-particle collisions:**
  1. \(b=0\): Penrose (’74): \(M_{BH} > E/\sqrt{2} \sim 0.71E\)
  2. \(b \neq 0\): Eardley & Giddings (’02), one example:

\[
\left( \frac{R}{b} \right)_{cr} \leq 1.25 \quad (R = 2G\sqrt{s} = 4GE_1 = 4GE_2)
\]

- **Extended sources:**
  - Kohlprath & GV (’02), one example: central collision of 2 homogeneous null discs of radius \(L\)

\[
\left( \frac{R}{L} \right)_{cr} \leq 1 \quad \text{Using infinite-}L \text{ sln. & causality one can prove that a curvature singularity forms as well (GV, unpl.)}
\]
Quantum mechanically we can prepare pure initial states that correspond, roughly, to the classical data. They define a parameter space \( p(Q) \). Questions:

- Does a unitary S-matrix (evolution operator) describe the evolution of the system everywhere in \( p(Q) \)?
- If yes, does such an S-matrix develop singularities as one approaches a critical surface \( S_{cr}(Q) \) in \( p(Q) \)?
- If yes, what happens in the vicinity of this critical surface? Does the nature of the final state change as one goes through it? Is there a connection between \( S_{cr}(C) \) and \( S_{cr}(Q) \)?
- What happens to the final state deep inside the BH region? Does it resemble at all Hawking’s thermal spectrum for each initial pure state?
- Qs related to information paradox/puzzle (Hawking ’75)
TPE collisions as a gedanken experiment
(Amati, Ciafaloni & GV 1987-'08)

Trans-Planckian-Energy (TPE => E \gg M_p c^2, or Gs/c^5 h \gg 1) string collisions represent a perfect theoretical laboratory for studying these questions within a framework that claims to be a fully consistent quantum theory of gravity.

The need for TPEs comes from our wish to understand the physics of semiclassical -rather than Planck size- black holes. It will also simplify the theoretical analysis.

The other important classical length was the impact parameter b. Its quantum mechanical equivalent is the ratio of angular momentum J and c.o.m. energy E. This is what will be meant by b
A phenomenological motivation for studying TPE collisions?

Finding signatures of string/quantum gravity @ LHC:

- In KK models with large extra dimensions;
- In brane-world scenarios; in general:
- If the true Quantum Gravity scale is $O(\text{few TeV})$

NB: In the most optimistic situation the LHC will be very marginal for producing BH, let alone semiclassical ones

Q: Can there be some precursors of BH behaviour even below the expected BH-production threshold?
The rest of the talk

- Scales & regimes in TPE collisions
- The small angle regime
- String corrections
- Classical corrections
- Towards a quantum description of gravitational collapse
$R = 1.25$

$l_P = \sqrt{\frac{\hbar G}{c^3}}$

Expected phase diagram in point-particle collisions

Dispersion

Collapse

Critical "point"?

$\left(\frac{R}{b}\right)_{cr} \leq 1.25$
If we collide strings, instead of point particles, there is another length scale, the characteristic size $l_s$ of strings

$$l_s = \sqrt{\frac{\hbar c}{T}} \quad \text{Cf.} \quad l_P = \sqrt{\frac{\hbar G}{c^3}}$$

($T$ is the classical string tension)

$l_s$ plays the role of the beam size!

**3 length scales:** $b$, $R$ and $l_s$ =>

**3 broad-band regimes in transplanckian string collisions**

1) **Small angle** scattering ($b \gg R, l_s$)
2) **Stringy** ($l_s > R, b$)
3) **Large angle** scattering ($b \sim R > l_s$), **collapse** ($b, l_s < R$)
expected phase diagram in string collisions

Critical line?

\[ E = E_{th} \sim M_s / g_s^2 \gg M_p \]

\[ \frac{l_p}{l_s} = g_s \ll 1 \]
A **semiclassical S-matrix @ TPE**

General arguments as well as explicit calculations suggest the following form for the elastic S-matrix:

\[
S(E, b) \sim \exp \left( \frac{i}{\hbar} A \right) \sim \exp \left( -\frac{iG_s}{\hbar} (\log b^2 + O(R^2/b^2) + O(l_s^2/b^2) + O(l_P^2/b^2) + \ldots) \right)
\]

Leading eikonal diagrams (crossed ladders included)

**NB:** For \( \text{Im} \ A \) some terms may be more than just corrections...
Critical line?
Recovering CGR expectations @ large distance

\[ S(E, b) \sim \exp \left( -i \frac{G s}{\hbar} \log b^2 \right) \quad ; \quad S(E, q) = \int d^2 b \, e^{-i q b} S(E, b) \quad ; \quad s = 4E^2 , \; q \sim \theta E \]

The integral is dominated by a saddle point at:

\[ b_s = \frac{4G \sqrt{s}}{\theta} , \; \theta = \frac{4G \sqrt{s}}{b} = 2 \frac{R}{b} , \; R \equiv 2G \sqrt{s} \]

the generalization of Einstein’s deflection formula for ultra-relativistic collisions. It corresponds precisely (and for any D) to the relation between impact parameter and deflection angle in the (Aichelburg-Sexl) metric generated by a relativistic point-particle of energy E.

This effective metric is not put in: it’s “emergent”
\[ b_s = \frac{4G\sqrt{s}}{\theta}, \quad \theta = \frac{4G\sqrt{s}}{b} = 2\frac{R}{b}, \quad R \equiv 2G\sqrt{s} \]

- Note that at fixed \( \theta \), larger E probe \textbf{larger} \( b \)
- The reason is quite simple: because of eikonal exponentiation, \( A_{cl} \sim Gs/h \) also gives the average loop-number. The total momentum transfer \( q = \theta E \) is thus \textbf{shared} among \( O(s\sim E^2) \) exchanged gravitons to give:

\[ q_{ind} \sim \frac{\hbar q}{Gs} \sim \frac{\hbar \theta}{R} \sim \frac{\hbar}{b_s} \]

meaning that the process is soft at large \( s \)…
**String corrections in region 1**
(relevant because of imaginary part)

\[ S(E, b) \sim \exp \left( i \frac{A}{\hbar} \right) \sim \exp \left( -i \frac{G s}{\hbar} (\log b^2 + O(R_s^2/b^2) + O(l_s^2/b^2) + O(l_P^2/b^2) + \ldots) \right) \]

Graviton exchanges can excite one or both incoming strings. Reason: a string moving in a non-trivial metric feels **tidal forces** as a result of its finite size. A simple argument (SG, GV) gives, for any D, the critical impact parameter \( b_D \) below which the phenomenon kicks-in

\[ \theta_1 \sim G_D E_2 b^{3-D} \Rightarrow \Delta \theta_1 \sim G_D E_2 l_s b^{2-D} \]

This angular spread provides an invariant mass:

\[ M_1 \sim E_1 \Delta \theta_1 \sim G_D s l_s b^{2-D} = M_2 \]

Strings get excited if

\[ M_{1,2} \sim M_s = \hbar l_s^{-1} \Rightarrow b = b_D \sim \left( \frac{G s l_s^2}{\hbar} \right)^{\frac{1}{D-2}} \] as found by ACV
Diffractively produced closed strings

exchanged gravi-reggeons
Critical line?

BH

1

2

3

b

R(E)

l_s
Because of (DHS) duality even single graviton exchange does not give a real scattering amplitude. The imaginary part is due to formation of closed-strings in the s-channel.

It is exponentially damped at large impact parameter (=> irrelevant in region 1, important in region 2)
Im $A$ is due to closed strings in s-channel (DHS duality)

Heavy closed strings produced in s-channel

Gravi-reggeon exchanged in t-channel
\[ \text{Im} A_{cl}(E, b) \sim \frac{G s}{\hbar} \exp \left( -\frac{b^2}{l_s^2 \log s} \right) \]

As one goes to impact parameters below the string scale one starts producing more and more strings. The average number of produced strings grows (once more!) like \( Gs \sim E^2 \) so that, above \( M_{Pl} \), the average energy of each final string starts decreasing as the incoming energy is increased.

\[ \langle E_{final} \rangle \sim \frac{M_{Pl}^2}{\sqrt{s}} \sim T_{BH} \]

Similar to what we expect in BH physics!

An interesting signature even below the actual threshold of BH production!
Critical line?

Small-angle inelastic scattering

1

Large-angle inelastic scattering, collapse

3

BH

\( l_s \)

\( R(E) \)
Classical corrections

\[ S(E, b) \sim \exp \left( i \frac{A_{cl}}{\hbar} \right) \sim \exp \left( -i \frac{G_s}{\hbar} (\log b^2 + O(R^2/b^2) + O(l_s^2/b^2) + O(l_P^2/b^2) + \ldots) \right) \]

From small to large-angle inelastic scattering ... and to gravitational collapse?

(ACV, hep/th-0712.1209, MO, VW, CC...'08)
Classical corrections are related to “tree diagrams”

Power counting for connected trees:

\[ A_{cl}(E, b) \sim G^{2n-1}s^n \sim G_s R^{2(n-1)} \Rightarrow G_s \left( \frac{R}{b} \right)^{2(n-1)} \]

Summing tree diagrams => solving a classical field theory.

Q: Which is the effective field theory for TP-scattering?
There is a D=4 effective action generating the leading diagrams (Lipatov, ACV '93). After (approximately) factoring out the longitudinal dynamics it becomes a D=2 effective action containing 4 fields: $a_1$ and $a_2$, representing the longitudinal (++) and (--) components of the metric, sourced by the EMT of the two fast particles; $\phi$, a complex field representing the TT components of the graviton field (i.e. the physical gravitons). One polarization suffers from (well understood but bothersome) IR divergences. Limiting ourselves to the IR-safe polarization $\phi$ becomes real. In that case:
The action (neglecting rescattering for b not too small)

\[ \frac{A}{2\pi G_s} = \int d^2x \left[ a(x)\bar{s}(x) + \bar{a}(x)s(x) - \frac{1}{2}\nabla_i \bar{a} \nabla_i a \right] \]

\[ + \frac{(\pi R)^2}{2} \int d^2x \left( -(\nabla^2\phi)^2 + 2\phi \nabla^2 \mathcal{H} \right), \]

\[ -\nabla^2 \mathcal{H} \equiv \nabla^2 a \nabla^2 \bar{a} - \nabla_i \nabla_j a \nabla_i \nabla_j \bar{a}, \]

(for point-particles \( s(x) \) is a \( \delta \)-function)

The corresponding eom read:

\[ \nabla^2 a + 2s(x) = 2(\pi R)^2(\nabla^2 \phi - \nabla_i \nabla_j a \nabla_i \nabla_j \phi), \quad \nabla^2 \bar{a} + 2\bar{s}(x) = \ldots \]

\[ \nabla^4 \phi = -\left( \nabla^2 a \nabla^2 \bar{a} - \nabla_i \nabla_j a \nabla_i \nabla_j \bar{a} \right) \]

The semiclassical approximation amounts to solving the eom and computing the classical action on the solution. This is why we took \( G_s/h \gg 1! \)

Still too hard for analytic study, for numerics: see below
Axisymmetric beam-beam collisions

\[(ACV '07, J. Wosiek & GV '08)\]

\[R_1(r)=4GE_1(r)\]
\[R_2(r)=4GE_2(r)\]
A simpler, yet rich, problem:

1. The sources contain several parameters & we can look for critical surfaces in their multi-dim. \textsuperscript{al} space

2. The CTS criterion is simple (see below)

3. Numerical results are coming in (see CP, 2009)

4. One polarization not produced

5. And, last but not least, PDEs become ODEs
Equations, results

Introducing the auxiliary field \((t = r^2)\)

\[ \rho = t \left(1 - (2\pi R)^2 \dot{\phi}\right) \]

The eom become:

\[ \dot{a}_i = -\frac{1}{2\pi \rho} \frac{R_i(r)}{R} \]

\[ \ddot{\rho} = \frac{1}{2} (2\pi R)^2 \ddot{a}_1 \ddot{a}_2 = \frac{1}{2} \frac{R_1(r) R_2(r)}{\rho^2} \]

subject to boundary conditions

\[ \rho(0) = 0 \quad , \quad \dot{\rho}(\infty) = 1 \]
**ACV vs. CTS**

KV's criterion for existence of CTS: if there exists an $r_c$ s.t.

$$R_1(r_c)R_2(r_c) = r_c^2$$

we can construct a CTS and therefore a BH forms.

Theorem (VW08): whenever the KV criterion holds*) the ACV field equations do not admit regular real solutions. Thus:

$$\text{KV criterion} \implies \text{ACV criterion}$$

but not necessarily the other way around!

*) actually the r.h.s. can be replaced by $\frac{2}{3\sqrt{3}}r_c^2$
A sufficient criterion for dispersion
(P.-L. Lions, private comm.)

If
\[ R_1(r)R_2(r) \leq \frac{8}{27} \frac{r^4}{(1 + r^2)^2} \left[ 1 + \frac{1}{2} \left( 1 - \frac{\log(1 + r^2)}{r^2} \right) \right]^2 \]

the ACV eqns do admit regular, real solutions.

To summarize

clearly, there is room for improvement...
Example 1: particle-scattering off a ring

Can be dealt with analytically:

\[
\ddot{\rho} = \frac{R^2}{2\rho^2} \Theta(r^2 - b^2)
\]

\[
\dot{\rho} = \sqrt{1 - \frac{R^2}{\rho}} , \quad (r > b)
\]

\[
\rho = \rho(0) + r^2 \dot{\rho}(0) , \quad (r < b)
\]

Since \( \rho(0) = 0 \):

\[
\rho(b^2) = b^2 \dot{\rho}(b^2) = b^2 \sqrt{1 - \frac{R^2}{\rho(b^2)}}
\]

This (cubic) equation has real solutions iff

\[
b^2 > \frac{3\sqrt{3}}{2} R^2 \equiv b_c^2
\]

CTS: \( (b/R)_c > 1 \)

\( (b/R)_c \sim 1.61 \)
Example 2: Two hom. beams of radius L.

The equation for $\rho$ becomes

$$\ddot{\rho}(r^2) = \frac{R^2}{2\rho^2} \Theta(r - L) + \frac{R^2 r^4}{2L^4 \rho^2} \Theta(L - r)$$

We can compute the critical value numerically:

$$\left( \frac{R}{L} \right)_{cr} \sim 0.47$$

It is compatible with (and a factor 2.13 below) the CTS upper bound of KV:

$$\left( \frac{R}{L} \right)_{cr} < 1.0$$
Example 3: Two different Gaussian Beams (GV&J.Wosiek '08)

Consider two extended sources (beams) with the same fixed total energy and Gaussian profiles centered at \( r=0 \) but with arbitrary widths \( L_1 \) and \( L_2 \)

\[
    s_i(t) = \frac{1}{2\pi L_i^2} \exp \left( -\frac{t}{2L_i^2} \right) , \quad \frac{R_i(t)}{R} = 1 - \exp \left( -\frac{t}{2L_i^2} \right)
\]

Determine numerically critical line in \( (L_1, L_2) \) plane and compare it with the one coming from the CTS criterion.
For $L_1 = L_2$, $L_c$ is a factor 2.70 above CTS's lower bound.

In 0908.1780 Choptuik & Pretorius analyzed a “similar” situation numerically. BH formation occurs about a factor 3 above the naive CTS value: a coincidence?
Particle-particle collisions at finite $b$

Numerical solutions

(G. Marchesini & E. Onofri, 0803.0250)

Solve directly PDEs by FFT methods in Matlab

Result: real solutions only exist for

$$b > b_c \sim 2.28R$$

Compare with EG’s CTS lower bound on $b_c$

$$b_c > 0.80R$$

$b_c$ is a factor 2.85 above CTS’s lower bound
What happens below $b_c$?

(ACV '07, M. Ciafaloni & D. Colferai '08, '09)

If we insist on regularity at $r=0$, for $b < b_c$ we end up with complex classical solutions $\Rightarrow \text{Im } A_{\text{cl}} \neq 0$.

$\text{Im } A_{\text{cl}}$ induces in the S-matrix a new absorption on top of the one due to graviton emission. The meaning of this new absorption is still unclear. One possibility is to associate it with the opening on new channels related to black-hole production.

Progress on this issue has been obtained by M. Ciafaloni & D. Colferai (0807.2117, 0909.4523) by adding a class of quantum corrections to the semiclassical approximation but some questions still remain open.
We can study the spectrum of the produced particles by looking at various contributions to the imaginary part of the elastic amplitude at fixed $E$ & $b$ ($E$-cons. important)

The final spectrum is of the form:

$$\frac{1}{\sigma} \frac{d\sigma}{d^2 k dy} = \frac{G_s}{\hbar} R^2 \exp \left( -\frac{|k||b|}{\hbar} \left(1 + \cosh y \frac{R^3}{b^3} \right) \right)$$

showing that, while for $b \gg R$ gravitons are produced at small angles, as $b \rightarrow b_c \sim R$ their distribution becomes more and more spherical $w/ \langle n \rangle \sim Gs$ and (again!) characteristic energy $O(1/R \sim T_H)$
A gravitational “energy crisis”?  
(M. Ciafaloni & GV in progress)

\[ \frac{1}{\sigma} \frac{d\sigma}{d^2kdy} = \frac{G_s}{\hbar} R^2 \exp \left( -\frac{|k||b|}{\hbar} \left( 1 + \cosh \frac{y R^3}{b^3} \right) \right) \]

Using this formula the fraction of energy emitted in GW turns out to be \( O(1) \) already for \( b=b^*>>R \) (i.e. for \( \theta \ll 1 \)), where \( G_s/\hbar (R/b^*)^2 = O(1) \). This is somewhat puzzling from a GR perspective...and is related to a crucial

Q: What is the frequency cutoff on the GWs emitted in an ultrarelativistic small angle \( (b>>R) \) 2-body scattering? Possible answers: \( 1/b, \gamma/b, 1/R,... \)(not E/\hbar unless...).  

Does anyone here know?
Summary

- **Gedanken experiments** have played an important role in the early developments of Quantum Mechanics.

- **TPE collisions** may well play a similar role for understanding whether & how **QM & GR** are mutually compatible.

- **Superstring theory** in flat space-time offers a concrete framework where the quantum scattering problem is well-posed.

- The problem simplifies by considering $G_0\hbar \gg 1$ since a suitable semiclassical approximation can be justified. Within that constraint we have considered various regimes, roughly classified as follows:
• A **large impact parameter** regime, where an eikonal approximation holds and GR expectations are recovered (emerging AS metric, tidal excitation..)

• A **stringy** regime, where one finds an approximate S-matrix with some characteristics of BH-physics as the expected BH threshold is approached from below

• A **strong-gravity (large R ~ GE)** regime where an effective action approach can be (partly) justified and tested

• **Critical** points (lines) have emerged matching well CTS-based GR criteria (within an intriguing factor 2-3)
• As the critical line is approached, the final state starts resembling a Hawking-like spectrum: a fast growth (~ $E^2$) of multiplicity w/ a related softening of the final state.

• Progress was made towards constructing a unitary S-matrix and understanding the physics of the process as the critical surface is reached and possibly crossed.

• Much more work remains to be done, but an understanding of the quantum analog/replacement of GR's gravitational collapse does no-longer look completely out of reach...
THANK YOU