

# General Covariant Modulated procedure

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## Plan of the talk

1. Introduction: Kerr stability for small angular momentum
2. General Covariant Modulated (GCM) spheres
3. General Covariant Modulated (GCM) hypersurfaces

# 1. Introduction

## The Kerr and the Schwarzschild solutions

Einstein vacuum equations (EVE):  $\mathbf{Ric}_{\alpha\beta} = 0$  ( $\mathbf{Ric}$  Ricci tensor of  $\mathbf{g}$ )

**Kerr metric** given in Boyer-Lindquist  $(t, r, \theta, \varphi)$  coordinates by

$$\mathbf{g}_{a,m} = -\frac{\rho^2 \Delta}{\Sigma^2} dt^2 + \frac{\Sigma^2 \sin^2 \theta}{\rho^2} \left( d\varphi - \frac{2amr}{\Sigma^2} dt \right)^2 + \frac{\rho^2}{\Delta} dr^2 + \rho^2 d\theta^2$$

$$\Delta = r^2 + a^2 - 2mr, \quad \rho^2 = r^2 + a^2 \cos^2 \theta, \quad \Sigma^2 = (r^2 + a^2)^2 - a^2 \sin^2 \theta \Delta$$

The **Schwarzschild metric** is **spherically symmetric** and corresponds to the particular case  $a = 0, m > 0$

$$\mathbf{g}_m = -\left(1 - \frac{2m}{r}\right) dt^2 + \left(1 - \frac{2m}{r}\right)^{-1} dr^2 + r^2 \left( d\theta^2 + (\sin \theta)^2 d\varphi^2 \right)$$

## Stability conjecture for the Kerr family

Schwarzschild spacetimes correspond to non rotating black holes while for  $|a| < m$ , Kerr spacetimes correspond to rotating black holes

**Stability problem:** Are these black holes stable?

In the context of asymptotically flat solutions to the Einstein vacuum equation, we have the following conjecture:

**Conjecture (Stability of the exterior region of Kerr).** Small perturbations of given initial conditions of an exterior Kerr  $\mathbf{g}_{a,m}$  with  $|a| < m$  have maximal future developments converging to another exterior Kerr solution  $\mathbf{g}_{a_f,m_f}$  with  $|a_f| < m_f$

## Nonlinear stability of Kerr for $|a| \ll m$

**Theorem:** The stability conjecture holds true for  $|a| \ll m$ .

- **Modulation:** Klainerman-Szeftel 19' (arXiv:1911.00697, arXiv:1912.12195), and S. 22' (arXiv:2205.12336)
- **Decay estimates,** as well as statement of the result and strategy: Klainerman-Szeftel 21' (arXiv:2104.11857)
- **Hyperbolic estimates:** Giorgi-Klainerman-Szeftel 22' (arXiv:2205.14808)

In this talk, we focus on the modulation procedure that extends [Klainerman-Szeftel 18'] in axial polarized symmetry to general perturbations of Kerr spacetimes

## Modulation

$\mathbf{Ric}[\phi^* \mathbf{g}_{a,m}] = 0$  for all  $|a| < m$  and diffeomorphism  $\phi$  and hence:

$$\delta \mathbf{Ric} \left[ \frac{\partial \mathbf{g}_{a,m}}{\partial m} \right] = \delta \mathbf{Ric} \left[ \frac{\partial \mathbf{g}_{m,a}}{\partial a} \right] = \delta \mathbf{Ric} [\mathcal{L}_X \mathbf{g}_{m,a}] = 0$$

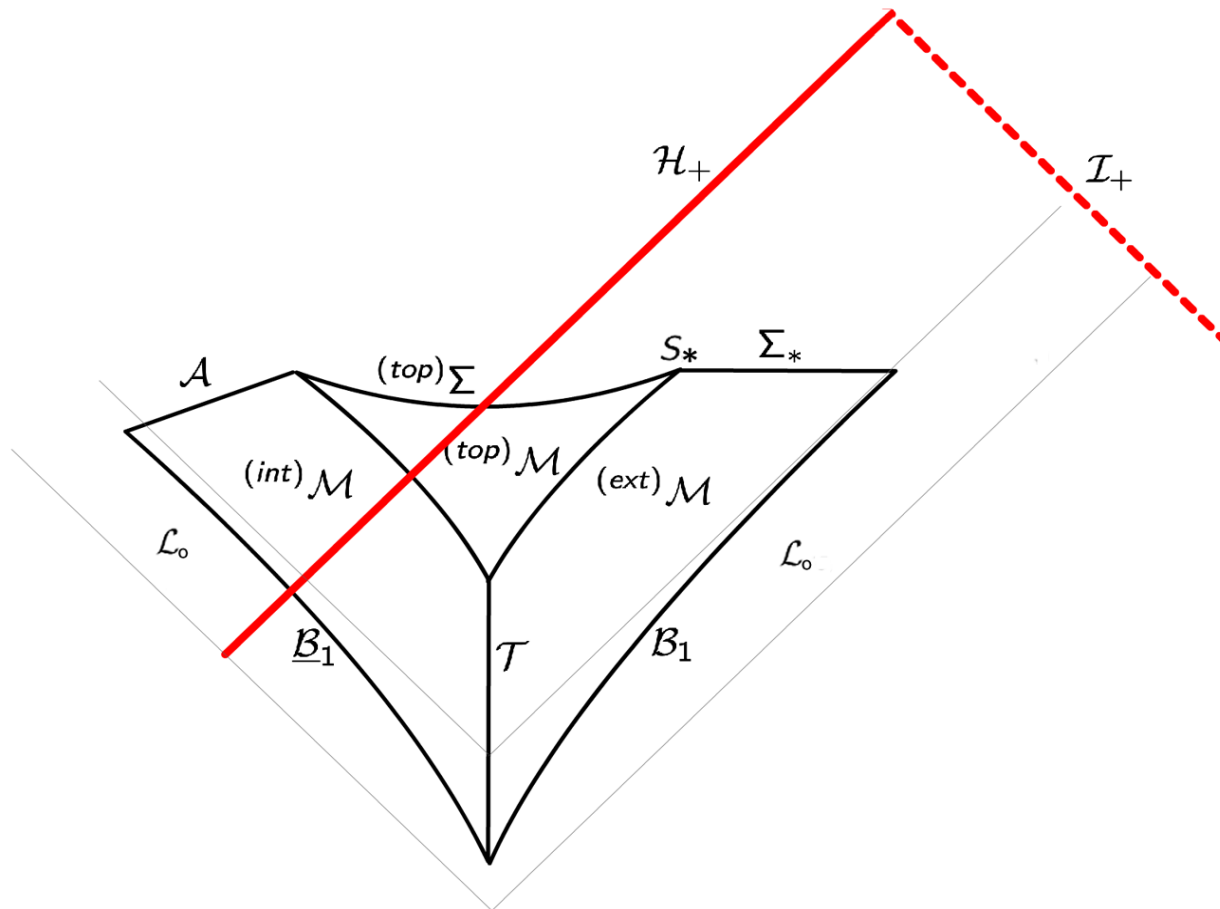
Thus,  $\partial_m \mathbf{g}_{m,a}$ ,  $\partial_a \mathbf{g}_{m,a}$  and  $\mathcal{L}_X \mathbf{g}_{m,a}$  belong to the kernel of Linearized Gravity System (LGS), which corresponds **at the nonlinear level** to the tracking of  $(m_f, a_f)$ .

When dealing with a linearized operator possessing a non trivial kernel, one uses modulation theory

**General covariance** of Einstein equations generates a kernel of LGS which has **infinite dimensions** and hence requires to find a strategy to implement **modulation in infinite dimensions**

## The continuity argument and the last slice

The **last slice** is chosen as a **GCM hypersurface**, which is the topic of this talk.





## 2. GCM spheres

## Principal quantities

$S$ -adapted null frame  $(e_1, e_2, e_3, e_4)$ :  $(e_1, e_2)$  are tangent to  $S$

Ricci coefficients:

$$\begin{aligned}
 \chi_{ab} &:= g(D_{e_a} e_4, e_b), & \underline{\chi}_{ab} &:= g(D_{e_a} e_3, e_b), & \xi_a &:= \frac{1}{2}g(D_{e_4} e_4, e_a), \\
 \underline{\xi}_a &:= \frac{1}{2}g(D_{e_3} e_3, e_a), & \omega &:= \frac{1}{4}g(D_{e_4} e_4, e_3), & \underline{\omega} &:= \frac{1}{4}g(D_{e_3} e_3, e_4), \\
 \eta_a &:= \frac{1}{2}g(D_{e_3} e_4, e_a), & \underline{\eta}_a &:= \frac{1}{2}g(D_{e_4} e_3, e_a), & \zeta_a &:= \frac{1}{2}g(D_{e_a} e_4, e_3)
 \end{aligned}$$

Expansion, shear and twist:

$$\begin{aligned}
 \text{tr } \chi &:= g^{ab} \chi_{ab}, & \widehat{\chi}_{ab} &:= \chi_{ab} - \frac{1}{2} \text{tr } \chi g_{ab}, & {}^{(a)}\text{tr } \chi &:= \epsilon^{ab} \chi_{ab}, \\
 \text{tr } \underline{\chi} &:= g^{ab} \underline{\chi}_{ab}, & \widehat{\underline{\chi}}_{ab} &:= \underline{\chi}_{ab} - \frac{1}{2} \text{tr } \underline{\chi} g_{ab}, & {}^{(a)}\text{tr } \underline{\chi} &:= \epsilon^{ab} \underline{\chi}_{ab}
 \end{aligned}$$

## Principal quantities

Curvature components:

$$\begin{aligned}\alpha_{ab} &:= R(e_a, e_4, e_b, e_4), & \underline{\alpha}_{ab} &:= R(e_a, e_3, e_b, e_3), \\ \beta_a &:= \frac{1}{2}R(e_a, e_4, e_3, e_4), & \underline{\beta}_a &:= \frac{1}{2}R(e_a, e_3, e_3, e_4), \\ \rho &:= \frac{1}{4}R(e_3, e_4, e_3, e_4), & \sigma &:= \frac{1}{4}{}^*R(e_3, e_4, e_3, e_4)\end{aligned}$$

Mass aspect function:

$$\mu := -\operatorname{div}\zeta - \rho + \frac{1}{2}\widehat{\chi} \cdot \widehat{\underline{\chi}}$$

Conditions of [geodesic foliation](#) on  $e_4$ :

$$\xi = 0, \quad \omega = 0, \quad \underline{\eta} = -\zeta$$

## Choice of last slice $\Sigma_*$

Before estimating the **Exterior region**  $^{(ext)}\mathcal{M}$ , we need to estimate  $\Sigma_*$

- We only have Hodge-Elliptic equations on  $\Sigma_*$  (e.g. Codazzi equations)
- $\text{tr } \chi$ ,  $\text{tr } \underline{\chi}$  and  $\mu$  do not verify Hodge-Elliptic equations, (only transport equations)

**Idea:** Construct  $\Sigma_*$  by combination of **well chosen spheres** such that  $\text{tr } \chi$ ,  $\text{tr } \underline{\chi}$  and  $\mu$  take Schwarzschild values (Close enough to Kerr values for  $r \gg m$ ):

$$\text{tr } \chi = \frac{2}{r}, \quad \text{tr } \underline{\chi} = -\frac{2\Upsilon}{r}, \quad \mu = \frac{2m}{r^3},$$

where  $m$  denotes the Hawking mass. These conditions called **GCM conditions** and these spheres called **GCM spheres**.

## Deformations of spheres

**Idea:** Start from  $\mathring{S}(u, s)$  having small GCM conditions. Then construct GCM spheres by the **deformation of spheres**

$$\begin{aligned}\Phi : \mathring{S}(u, s) &\rightarrow \mathbf{S}' \\ (u, s, y^1, y^2) &\rightarrow (u + U(y^1, y^2), s + S(y^1, y^2), y^1, y^2)\end{aligned}$$

Goal: Find  **$\mathbf{S}'$ -adapted frame**  $(e'_1, e'_2, e'_3, e'_4)$  verifying the following **GCM conditions**:

$$\operatorname{tr} \chi' = \frac{2}{r'}, \quad \operatorname{tr} \underline{\chi}' = -\frac{2\Upsilon'}{r'}, \quad \mu' = \frac{2m'}{r'^3}$$

## Frame transformations

Transition functions  $F := (f, \underline{f}, \lambda - 1)$  describe  $SO(1, 3)/SO(2)$ :

$$e'_4 = \lambda (e_4 + f^b e_b) + O(|F|^2),$$

$$e'_a = e_a + \frac{1}{2} \underline{f}_a e_4 + \frac{1}{2} f_a e_3 + O(|F|^2),$$

$$e'_3 = \lambda^{-1} (e_3 + \underline{f}^b e_b) + O(|F|^2)$$

The condition that  $(e'_1, e'_2)$  is **tangent** to  $\mathbf{S}'$  leads to:

$$\partial_{y^a} U = \Phi^\# (\mathcal{U}(f, \underline{f}, \Gamma))_a,$$

$$\partial_{y^a} S = \Phi^\# (\mathcal{S}(f, \underline{f}, \Gamma))_a,$$

where  $\mathcal{U}, \mathcal{S} = (f, \underline{f}) + O(|f, \underline{f}|^2)$  are 1-forms on  $\mathbf{S}'$

## Null transformation formulae

Transformation formulae for Ricci coefficients:

$$\text{tr } \chi' = \text{tr } \chi + \text{div}' f + F \cdot \Gamma + \dots$$

$$\text{tr } \underline{\chi}' = \text{tr } \underline{\chi} + \text{div}' \underline{f} + F \cdot \Gamma + \dots$$

$${}^{(a)}\text{tr } \chi' = {}^{(a)}\text{tr } \chi + \text{curl}' f + F \cdot \Gamma + \dots$$

$${}^{(a)}\text{tr } \underline{\chi}' = {}^{(a)}\text{tr } \underline{\chi} + \text{curl}' \underline{f} + F \cdot \Gamma + \dots$$

$$\mu' = \mu + \Delta' \lambda + F \cdot \Gamma + F \cdot R + \dots$$

## Elliptic systems

Fixing following conditions:

$$\begin{aligned} \operatorname{tr} \chi' &= \frac{2}{r'}, & \operatorname{tr} \underline{\chi}' &= -\frac{2\Upsilon'}{r'}, & \mu' &= \frac{2m'}{(r')^3}, \\ (a)\operatorname{tr} \chi' &= 0, & (a)\operatorname{tr} \underline{\chi}' &= 0 \end{aligned}$$

We obtain elliptic systems: ( $\overset{\circ}{\lambda} := \lambda - 1$ )

$$\begin{aligned} \operatorname{div}' f &= \dots & \operatorname{div}' \underline{f} &= \dots \\ \operatorname{curl}' f &= \dots & \operatorname{curl}' \underline{f} &= \dots \\ (\Delta' + V) \overset{\circ}{\lambda} &= \dots & V &:= \frac{2}{(r')^2} \end{aligned}$$

Presence of an asymptotic kernel on  $\ell = 1$  modes as  $r \rightarrow +\infty$



## GCM systems

We relax the GCM conditions as follows:

$$\begin{aligned} \operatorname{tr} \chi' &= \frac{2}{r'}, & \left( \operatorname{tr} \underline{\chi}' + \frac{2\Upsilon'}{r'} \right)_{\ell \geq 2} &= 0, & \left( \mu' - \frac{2m'}{(r')^3} \right)_{\ell \geq 2} &= 0, \\ (a) \operatorname{tr} \chi' &= 0, & (a) \operatorname{tr} \underline{\chi}' &= 0 \end{aligned}$$

We solve the following **GCM systems** by iteration:

$$\left\{ \begin{array}{l} \operatorname{div}' f = \dots \\ \operatorname{curl}' f = \dots \\ \operatorname{div}' \underline{f} = \dots + \text{Extra terms} \\ \operatorname{curl}' \underline{f} = \dots \\ (\Delta' + V) \overset{\circ}{\lambda} = \dots + \text{Extra terms} \end{array} \right. \quad \text{and} \quad \left\{ \begin{array}{l} (\operatorname{div}' f)_{\ell=1} = \Lambda, \\ (\operatorname{div}' \underline{f})_{\ell=1} = \underline{\Lambda} \end{array} \right.$$

6 parameters of  $(\Lambda, \underline{\Lambda})$ : 3 **translations** and 3 **boosts**.

## Construction of GCM spheres

Theorem [Klainerman-Szeftel, 19']. Let  $\mathcal{R}$  be a fixed spacetime region endowed with an outgoing geodesic foliation  $\mathring{S}(u, s)$ , verifying

$$\mathrm{tr} \chi - \frac{2}{r}, \quad \left( \mathrm{tr} \underline{\chi} + \frac{2\Upsilon}{r} \right)_{\ell \geq 2}, \quad \left( \mu - \frac{2m}{r^3} \right)_{\ell \geq 2} = O(\mathring{\delta}).$$

Then, for any  $(u, s)$  and  $(\Lambda, \underline{\Lambda}) = O(\mathring{\delta})$ , **there exists a unique GCM sphere  $\mathbf{S}' = \mathbf{S}'(u, s, \Lambda, \underline{\Lambda})$** , which is a deformation of  $\mathring{S}(u, s)$  s.t.

$$\mathrm{tr} \chi' - \frac{2}{r'} = 0, \quad \left( \mathrm{tr} \underline{\chi}' + \frac{2\Upsilon'}{r'} \right)_{\ell \geq 2} = 0, \quad \left( \mu' - \frac{2m'}{(r')^3} \right)_{\ell \geq 2} = 0,$$

and

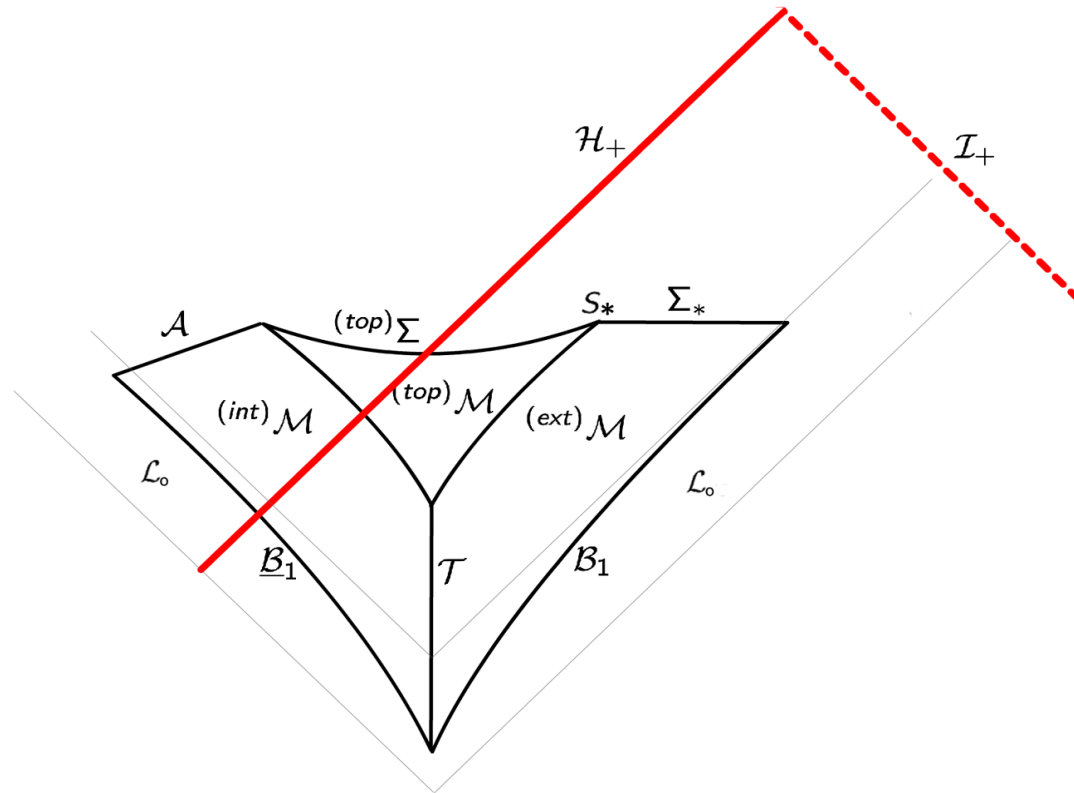
$$(\mathrm{div}' f)_{\ell=1} = \Lambda, \quad (\mathrm{div}' \underline{f})_{\ell=1} = \underline{\Lambda}.$$

### **3. GCM hypersurfaces**

## GCM hypersurfaces

The **last slice** is foliated by these GCM spheres:

$$\Sigma_* = \bigcup S'(\Psi(s), s, \Lambda(s), \underline{\Lambda}(s))$$



## Choice of $\Lambda$ , $\underline{\Lambda}$ and $\Psi$

Denoting  $\nu := e_3 + be_4$  which is tangent to  $\Sigma_*$

- We only have elliptic equations for  $\not{d}_2^* \eta$  and  $\not{d}_2^* \underline{\xi}$  instead of equations of  $\eta$  and  $\underline{\xi}$
- The kernel of  $\not{d}_2^*$  consist of the basis of  $\ell = 1$  modes
- Freedom to choose  $\Psi$  corresponds to freedom to fix  $\bar{b}$

**Idea:** Choose  $\Lambda$ ,  $\underline{\Lambda}$  and  $\Psi$  s.t.  $(\text{div}\eta)_{\ell=1}$ ,  $(\text{div}\underline{\xi})_{\ell=1}$  and  $\bar{b}$  take Schwarzschild values:

$$(\text{div}\eta)_{\ell=1} = 0, \quad (\text{div}\underline{\xi})_{\ell=1} = 0, \quad \bar{b} = -1 - \frac{2m}{r}$$

## Transport systems

Recall the transformation formulae:

$$\eta' = \eta + \frac{1}{2} \nabla'_3 f + F \cdot \Gamma + \dots$$

$$\underline{\xi}' = \underline{\xi} + \frac{1}{2} \nabla'_3 \underline{f} + F \cdot \Gamma + \dots$$

and

$$\Lambda = (\operatorname{div} f)_{\ell=1}, \quad \underline{\Lambda} = (\operatorname{div} \underline{f})_{\ell=1}$$

We deduce

$$\nu(\Lambda) \sim (\operatorname{div}(\nu f))_{\ell=1} \sim (\operatorname{div}(\nabla'_3 f))_{\ell=1} \sim (\operatorname{div} \eta)_{\ell=1},$$

$$\nu(\underline{\Lambda}) \sim (\operatorname{div}(\nu \underline{f}))_{\ell=1} \sim (\operatorname{div}(\nabla'_3 \underline{f}))_{\ell=1} \sim (\operatorname{div} \underline{\xi})_{\ell=1}$$

## ODE systems

Along the characteristic of  $\nu$ :

$$\Lambda'(s) = (\operatorname{div}' \eta')_{\ell=1} + F(\Lambda, \underline{\Lambda}, \Psi),$$

$$\underline{\Lambda}'(s) = (\operatorname{div}' \underline{\xi}')_{\ell=1} + G(\Lambda, \underline{\Lambda}, \Psi),$$

$$\Psi'(s) = \bar{b} + 1 + \frac{2m'}{r'} + H(\Lambda, \underline{\Lambda}, \Psi)$$

Solving the ODE systems

$$\Lambda'(s) = F(\Lambda, \underline{\Lambda}, \Psi), \quad \underline{\Lambda}'(s) = G(\Lambda, \underline{\Lambda}, \Psi), \quad \Psi'(s) = H(\Lambda, \underline{\Lambda}, \Psi)$$

to find  $\Lambda$ ,  $\underline{\Lambda}$  and  $\Psi$  s.t.

$$(\operatorname{div}' \eta')_{\ell=1} = 0, \quad (\operatorname{div}' \underline{\xi}')_{\ell=1} = 0, \quad \bar{b} + 1 + \frac{2m'}{r'} = 0.$$

## Construction of GCM hypersurfaces

Theorem [S. 22']. Let  $\mathcal{R}$  be a fixed spacetime region satisfying the same conditions as [Klainerman-Szeftel 19']. Then, **there exists a unique GCM hypersurface**  $\Sigma_* = \bigcup \mathbf{S}'(\Psi(s), s, \Lambda(s), \underline{\Lambda}(s))$ , which is a combination of **GCM spheres** s.t.

$$\operatorname{tr} \chi' - \frac{2}{r'} = 0, \quad \left( \operatorname{tr} \underline{\chi}' + \frac{2\underline{\Upsilon}'}{r'} \right)_{\ell \geq 2} = 0, \quad \left( \mu' - \frac{2m'}{(r')^3} \right)_{\ell \geq 2} = 0,$$

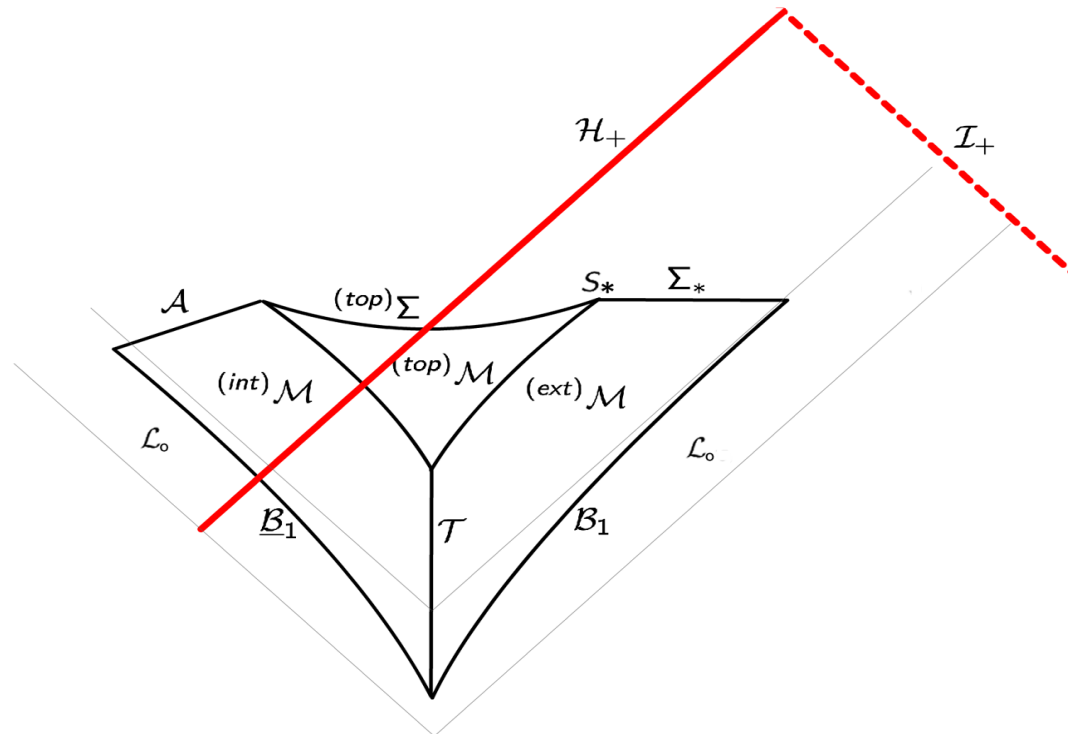
and

$$(\operatorname{div}' \eta')_{\ell=1} = 0, \quad (\operatorname{div}' \underline{\xi}')_{\ell=1} = 0, \quad \bar{b} = -1 - \frac{2m'}{r'}.$$



## Apply to Kerr stability

The last slice is foliated by these GCM spheres starting from the last GCM sphere  $S_*$ . In particular, the gauge is initialized from the future [with no reference to the initial data](#). See Klainerman-Szeftel 21' (arXiv:2104.11857).



**Thanks for your attention!**

# Appendix

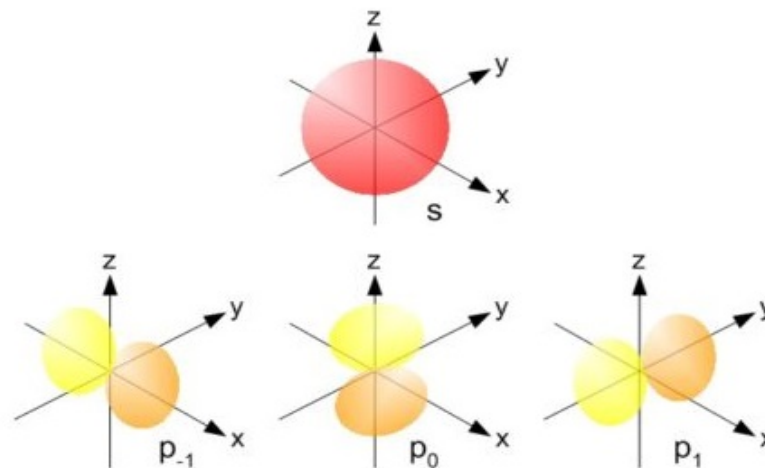
## Spherical harmonics

Functions  $Y_\ell^m$ ,  $-\ell \leq m \leq \ell$  defined on 2-sphere  $S$  satisfying

$$\left( \Delta^S + \frac{\ell(\ell+1)}{r^2} \right) Y_\ell^m = 0$$

In the spherical coordinates coordinates:

$$Y_0^0 = 1, \quad Y_1^{-1} = \cos \theta, \quad Y_1^0 = \sin \theta \cos \varphi, \quad Y_1^1 = \sin \theta \sin \varphi$$



## Basis of $\ell = 1$ modes

A basis of  $\ell = 1$  modes: Scalar functions  $J^{(p)} : S \rightarrow \mathbb{R}$  for  $p = -, 0, +$  defined on a topological 2-sphere  $S$  satisfying:

$$\begin{aligned}(r^2 \Delta^S + 2)J^{(p)} &= O(\epsilon), \\ \frac{1}{|S|} \int_S J^{(p)} J^{(q)} &= \frac{1}{3} \delta_{pq} + O(\epsilon), \\ \frac{1}{|S|} \int_S J^{(p)} &= O(\epsilon),\end{aligned}$$

The  $\ell = 1$  modes of scalar  $\lambda$  and 1-form  $f$ :

$$\begin{aligned}(\lambda)_{\ell=1} &:= \left\{ \int_S J^{(p)} \lambda, \quad p \in \{0, +, -\} \right\}, \\ (f)_{\ell=1} &:= \left\{ \int_S J^{(p)} \operatorname{div}^S(f), \quad p \in \{0, +, -\} \right\}\end{aligned}$$

## Elliptic equations on $\Sigma_*$

Codazzi equations:

$$\begin{aligned}\operatorname{div} \widehat{\underline{\chi}} &= \nabla \operatorname{tr} \underline{\chi} + \underline{\chi} \cdot \underline{\zeta} - \operatorname{tr} \underline{\chi} \underline{\zeta} + \underline{\beta}, \\ \operatorname{div} \widehat{\underline{\chi}} &= \nabla \operatorname{tr} \underline{\chi} - \underline{\chi} \cdot \underline{\zeta} + \operatorname{tr} \underline{\chi} \underline{\zeta} - \underline{\beta}\end{aligned}$$

Additional equations for  $\underline{\omega}, \eta$  and  $\underline{\xi}$ :

$$2\underline{d}_2^* \underline{d}_1^* \underline{d}_1 \underline{d}_2 \underline{d}_2^* \eta = -\underline{d}_2^* \underline{d}_1^* \underline{d}_1 \nabla_3 \nabla \operatorname{tr} \underline{\chi} + \frac{2}{r} \nabla_3 \underline{d}_2^* \underline{d}_1^* \mu - \frac{4}{r} \underline{d}_2^* \underline{d}_1^* \operatorname{div} \underline{\beta} + \Gamma \cdot \Gamma,$$

$$2\underline{d}_2^* \underline{d}_1^* \underline{d}_1 \underline{d}_2 \underline{d}_2^* \underline{\xi} = \nabla_3 (\underline{d}_2^* \underline{d}_2 + 2K) \underline{d}_2^* \underline{d}_1^* \operatorname{tr} \underline{\chi} + \frac{2}{r} \nabla_3 \underline{d}_2^* \underline{d}_1^* \mu - \frac{4}{r} \underline{d}_2^* \underline{d}_1^* \operatorname{div} \underline{\beta} + \Gamma \cdot \Gamma,$$

$$2\nabla \underline{\omega} = \frac{1}{r} \underline{\xi} - \nabla_3 \underline{\zeta} - \underline{\beta} + \frac{1}{r} \eta + \Gamma \cdot \Gamma,$$

$$2\underline{\bar{\omega}} = r\bar{\mu} + \Gamma \cdot \Gamma$$

## Curvature components $\alpha$ and $\underline{\alpha}$

Curvature transformation formulae:

$$\alpha' = \alpha + F \cdot \beta + O(F^2) \cdot (\rho, {}^* \rho) + \dots$$

$$\underline{\alpha}' = \underline{\alpha} - F \cdot \underline{\beta} + O(F^2) \cdot (\rho, {}^* \rho) + \dots$$

In Boyer-Lindquist coordinates:

$$\alpha, \beta, {}^* \rho, \underline{\beta}, \underline{\alpha} = O(\epsilon), \quad \rho + \frac{2m}{r^3} = O(\epsilon)$$

Gauge dependence of  $\alpha$  and  $\underline{\alpha}$  are higher order:

$$\alpha' - \alpha = O(\epsilon^2), \quad \underline{\alpha}' - \underline{\alpha} = O(\epsilon^2)$$

$\alpha$  and  $\underline{\alpha}$  can be treated independent on modulation procedure, see Giorgi-Klainerman-Szeftel 22' (arXiv:2205.14808)